



In The United States Patent and Trademark Office

Application Number: 10/080,855  
Applicants: Kenneth Hu  
Filing Date: 02/22/2002  
Title: Method of Evaluating Security Trading Capacity

Examiner:  
Group Art Unit:

COPY OF PAPERS  
ORIGINALLY FILED

Date: July 19, 2002

PRELIMINARY AMENDMENT

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

RECEIVED

AUG 05 2002

GROUP 3600

Kindly amend the above application as follows.

IN THE CLAIMS:

Please rewrite claims 51-54 and add claims 55-56 of record as follows:

A<sup>1</sup> Claim 51 (amended). A method of evaluating security trading capacity, comprising the steps of:

(a) providing an investment portfolio which is an electronic file having a predetermined market value;

(b) inputting a trade order of a predetermined amount, wherein said trade order includes at least a security to be traded;

(c) providing an updated security quote for each of said tradable securities of said personal investment portfolio, thereby a user is able to view said updated security quote before planning said trade order; and

(d) producing a security balance by computing said trade order according to either a predetermined quantity or an amount of fund to be committed.

08/01/2002 SSANDARA 00000002 10080855

18.00 OP

01 FC:103